

1.0 Division of Child and Family Services

Summary

State statute (62A-4a-103) defines the primary purpose of the Division of Child and Family Services (DCFS) as providing child welfare services. The Division shall also, “when possible and appropriate, provide preventive services and family preservation services” Furthermore, the Division shall “provide domestic violence services in accordance with federal law,” and “youth services for minors who are dependent, ungovernable, or runaway....”

The statute lists specific services that the Division must provide families. These include child abuse prevention, child protective services, shelter care, foster care, residential care, adoption, youth services for runaway or ungovernable youth, health care for children in DCFS custody, family preservation, protective supervision, independent living, and domestic violence preventive services.

1.1 Financial Summary

The Analyst recommends a total budget of \$116.7 million for DCFS for FY 2000. Of this amount, \$59.1 is from the General Fund. The majority of the balance comes from federal sources.

	FY 1998	FY 1999	FY 2000	FY 00-99
Financing	Actual	Authorized	Analyst	Difference
General Fund	\$57,795,800	\$59,872,200	\$59,142,300	(\$729,900)
Free Revenue Misc.	19			
Gen. Fund Restricted	900,000	900,000	900,000	
Federal Funds	36,767,478	36,906,600	34,307,200	(2,599,400)
Federal Funds (SSBG transfers)			1,234,800	1,234,800
Dedicated Credits	1,993,091	2,438,500	3,144,200	705,700
Transfers	11,987,693	14,153,700	17,941,800	3,788,100
Beginning Nonlapsing	783,687	1,637,600		(1,637,600)
Ending Nonlapsing	(1,637,619)			
Lapsed to Restricted Funds	(115,337)			
Total Revenues	\$108,474,812	\$115,908,600	\$116,670,300	\$761,700
FTEs		1,034.8	1,038.8	4.0
Expenditures				
Personal Services	\$41,822,818	\$42,822,400	\$42,971,300	\$148,900
In State Travel	999,568	1,002,800	1,007,100	4,300
Out of State Travel	80,366	80,500	85,500	5,000
Current Expense	10,693,790	11,423,100	12,106,300	683,200
Data Proc. Current Exp.	6,937,644	5,598,700	3,686,300	(1,912,400)
DP Capital Outlay	117,148	117,200	115,600	(1,600)
Capital Outlay	29,711	44,300	44,300	
Pass Through Expense	47,793,767	54,819,600	56,653,900	1,834,300
Total Expenditures	\$108,474,812	\$115,908,600	\$116,670,300	\$761,700
Programs				
Administration	\$9,416,239	\$8,273,400	\$6,662,500	(\$1,610,900)
Service Delivery	47,589,319	49,016,600	49,249,900	233,300
In-Home Services	1,659,710	1,347,100	1,347,100	
Out-of-Home Care	29,588,881	32,861,100	34,128,700	1,267,600
Facility Based Services	2,779,667	3,441,400	3,566,400	125,000
Minor Grants	2,076,221	2,053,700	2,053,700	
Selected Programs	5,152,400	5,760,200	5,760,200	
Special Needs	1,473,757	1,650,900	1,650,900	
Domestic Violence	2,986,204	3,773,400	4,273,400	500,000
Children's Trust Fund	257,698	350,000	350,000	
Adoption Assistance	5,494,716	7,380,800	7,627,500	246,700
Total	\$108,474,812	\$115,908,600	\$116,670,300	\$761,700

2.0 Division of Child and Family Services Budget Highlights

The Analyst's recommendations for FY 2000 include adjustments, budget reductions and increases totaling \$761,700. This includes a net decrease in State funds of \$729,900. The table below depicts the Analyst's recommended changes for FY 2000.

	General Fund	Total Funds
FY 1999 Authorized		
Authorized FY 1999	\$59,872,200	\$115,908,600
Transfers, Adjustments, Base Reductions		
Less: one-time funding (pilot programs)	(\$515,000)	(\$515,000)
Transfer from Dept of Health	35,000	35,000
Transfer to Exec Dir Operations budget	(43,000)	(43,000)
Transfer to Office of Recovery Services	(31,300)	0
Other FY 2000 revised funding estimates (non-State funds)		(338,600)
Rent reallocations at 2nd West	(9,700)	(19,300)
Reduction used in Gov budget for foster parent rate incr.	(363,200)	(363,200)
Reduction due to one-time costs included in FY 98 building block	(213,200)	(270,600)
FY 2000 Building Blocks		
Internal Service Fund rate adjustments	116,100	201,900
SAFE child welfare management system		1,211,000
Rental increase	294,400	363,500
FMAP match rate increase		0
Domestic Violence programs		500,000
Subtotal Building Blocks & Adjustments	(\$729,900)	\$761,700
Total FY 2000 Budget Recommendations	\$59,142,300	\$116,670,300
(Without Compensation Adjustment)		

2.1 Transfers From the TANF "Rainy Day" Fund

The Analyst has referred to the Social Services Block Grant (SSBG) as a funding source for several building blocks in the Division. SSBG funds will not be used directly to fund these projects, but will be used to "free up" State funds that can be used to draw down additional federal funds. The Analyst has used these funds for the SAFE management information system operation, domestic violence program enhancements and to make up a loss of other federal funds in the FMAP (federal medical assistance program).

2.2 Transfers From Other Departments

DCFS requests the transfer of two eligibility technicians from the Bureau of Eligibility Services in the Department of Health. Health currently performs Title XIX eligibility for DCFS and this transfer will expedite this process in DCFS. The Office of Recovery Services (ORS) requests that funds for three agents to collect child support for DCFS and the Division of Youth Corrections be transferred to ORS. ORS is expected to see increased collections as a result. The Analyst has included these transfers in his FY 2000 recommended budget.

2.3 Transfers Within the Department

The Department requests that funds for the Consumer Hearing Panel and the Office of Compliance Support be transferred from DCFS to the Executive Director of Operations' (EDO) budget to help ensure objectivity in their work. The Analyst reflects these transfers in his recommendations.

**2.4 One-time Funding
for Pilot Programs**

The Intensive Family-based Services Pilot Program and the Early Intervention for Juveniles Pilot Program, both begun in Utah County, are now in their third year. Evaluations by the BYU Psychology Department are positive for both programs. Revenue limitations precluded the Analyst from recommending continued funding for these pilot programs. However, these programs should be incorporated in the Division's repertoire of service options available for youth and families in crisis. These pilot programs were funded at \$515,000 from the General Fund.

2.5 Budget Reductions

The Analyst has included two base budget reductions in the FY 2000 recommended budget. One is the same reduction the Governor recommended as a reflection of a slight caseload decrease. The other is from a building block approved by the 1997 Legislature that included one-time capitol and computer acquisitions. These two reductions total \$576,400 in State General Funds.

**2.6 State Automated
Child Welfare
Information System**

The Division is completing the development of a new SACWIS (State Automated Child Welfare Information System), also known as "SAFE," which will provide system wide electronic case management. For FY 2000, the Analyst has recommended additional operation and maintenance costs of \$1,211,000 with half coming from Division State funds made available up by transferring TANF funds to the SSBG. Federal Title IV-E funds will provide the other half.

2.7 Rental Increases

Rental and lease costs for various Division offices around the State are increasing for FY 2000. The South Main facility in Salt Lake City was recently condemned and other space (more costly) had to be acquired. Also, in some areas, the Department of Workforce Services is moving out of co-located offices which results in an additional cost to the Division. The Analyst recommends an increased appropriation of \$363,500 for these expenses.

2.8 Domestic Violence

The Division requests funding for gaps in the service programs for victims of domestic violence in the State. Additional funding is requested for the State's various shelter programs and to enhance the State's ability to respond family crisis situations. The Analyst recommends \$500,000 for these programs from Division State funds made available by transferring TANF funds to the SSBG.

**2.9 FMAP Match Rate
Change**

The federal medical assistance percentage (FMAP) match rate for FY 2000 is changing from 71.98 percent to 71.61 percent. As a result, the State needs to assume a larger match to maintain current program levels. The Analyst recommends that \$537,100 be appropriated to make up this shortfall. Funding would come from Division State funds made available by transferring TANF funds to the SSBG.

3.0 Division of Child and Family Services (DCFS)

3.0.1 DCFS: David C. Lawsuit Settlement

In 1990, Donna Brown, a CASA (court-appointed special advocate) volunteer working with the guardian ad litem in Utah, was given several child welfare cases to investigate. Over the next few years she brought attention to problems within Utah's child welfare system. In February, 1993, the National Center for Youth Law (NCYL) filed a civil rights complaint in U.S. District Court on behalf of all children reported as abused and neglected and all foster children in Utah.

The David C. lawsuit settlement between the State of Utah and the National Youth Law Center was negotiated between July 1993, and May 1994. Also during this time, the 1994 Legislature passed H.B. 265 "Child Welfare Reform Act". The four year settlement was signed by Governor Leavitt on May 17, 1994. Judge David Winder gave final approval for the settlement on August 29, 1994. The settlement created a Monitoring Panel composed of three members. This panel was to make quarterly agreement compliance reports.

In March, 1997, Judge Winder issued an order declaring that the Division of Child and Family Services (DCFS) should not be placed in receivership as the plaintiffs had requested. The judge's order required, however, that DCFS draft a corrective action plan to address serious shortcomings in complying with the Settlement Agreement. It also required the independent Monitoring Panel to write a comprehensive plan which would more accurately describe the Division's compliance and better define compliance factors. The Panel (through the Department of Human Services) contracted with Paul Vincent and Associates to prepare this plan.

In August, 1998, the Court allowed the four year settlement agreement to expire. However, the Judge carried forward a provision from 1997 that the Division prepare a comprehensive plan satisfactory to the court. The draft plan was presented to the Court, but was found incomplete. The Division, along with Paul Vincent and Associates, was instructed to revise the plan by spring of 1999. This plan is to be reviewed by the NCYL. The Court will decide if the plan is adequate and whether the Court or the Department of Human Services will oversee compliance with the plan.

The 1998 Legislature appropriated \$297,000 in supplemental funding to the Department for the Paul Vincent contract. It also passed intent language making these funds non-lapsing so they can be carried forward into FY 2000, if necessary.

3.02 DCFS: Budgets Restructured

For FY 2000, the Division requested that changes be made in their accounting structure. These changes move some accounting cost centers from one "appropriation unit" (called a "program" in the appropriation act) to another. The Analyst reflects these changes as if they had already taken place in FY 1998 so the three year program comparison tables in this report are valid. The changes are shown below:

- 1) Move Ogden Group Home's budget from KHB (Service Delivery) to KHG (Facility Based Programs).
- 2) Move all activities in the old KHH appropriation unit

- (Treatment Services) to KHK (Restricted Services).
- 3) Rename the old KHH (Treatment Services) to Minor Grants. Move activities in KHK (Restricted Services) that deal with minor grants to the renamed KHH (Minor Grants).
 - 4) Create a new appropriation unit: KHP - Adoption Assistance. Move all subsidized adoption and guardianship subsidy payments from KHK (Restricted Services) to the new KHP. This move will help manage a volatile area of the budget.
 - 5) Rename KHK from “Restricted Services ” to “Selected Programs.”

**3.1 DCFS:
Administration**

The Division State Office directs the services delivery system for child welfare, youth services and domestic violence prevention and treatment across the State. It secures federal, State and other funds, monitors service delivery, identifies areas needing improvement and develops plans.

**3.1.1 Administration:
State Automated Child
Welfare Information
System**

The Division is completing the development of a new SACWIS (State Automated Child Welfare Information System), also known as “SAFE,” which will provide system wide electronic case management. The system will automatically develop service need lists, develop documents needed for foster care placements, maintain education and other case histories, and ease report creation. It will also electronically connect with other systems such as ORSIS (Office of Recovery Services Information System) and USSDS (Unified Social Services Delivery System).

In June, 1998, SACWIS (or SAFE) became the “document of record” for all child protective services cases. All referrals are entered into SAFE and the worker is electronically notified of required actions and when needed. Between July, 1998 and September, 1998, compliance with time critical investigations improved from 77.5 percent to 94.1 percent.

Through FY 1998, development costs total about \$13.3 million, with about \$4.1 million coming from the State general fund. The Division received a one-time budget increase of \$3,319,300 (\$1,230,600 General Fund) in FY 1998 to complete the system. While no additional funding was appropriated for FY 1999, intent language made the FY 1998 appropriated funds non-lapsing. System version/release 2.3 is expected to be completed by summer of 1999. The final developmental cost will be about \$16.9 million with the Federal Government paying 65 percent of the cost. This includes about \$3 million for equipment and \$380,000 for off the shelf software products.

The 1997 Legislature appropriated \$573,500 (\$235,800 General Fund) for operation and maintenance of the system when completion was expected by December, 1997. This is in addition to \$200,000 operating funds previously appropriated, making the existing operation and maintenance budget \$436,000 from State funds plus an equal amount of federal funds. For FY 2000, the Division requests additional operation and maintenance costs of \$1,211,000 with half coming from the State general fund and the other half from federal funds. The Analyst recommends this amount be added to the Division’s budget. However, instead of State General Funds, the Analyst recommends the use of Division State funds made available by transferring TANF funds to the

SSBG.

3.1.2 Administration: Budget Recommendation

The Analyst recommends \$6.7 million (\$2.5 million from the General Fund) be appropriated for FY 2000 for the Administration programs of DCFS as shown in the table below. These amounts include a transfer of \$35,000 in State Funds from the Department of Health for two eligibility technicians from the Bureau of Eligibility Services. It also includes a transfer of \$43,000 in State Funds to the Office of Recovery Services (ORS) for three agents to collect child support for DCFS. The internal service fund (ISF) adjustments reflect rate changes for the various State service providers such as the State Motor Pool, Information Technology Services, etc. The rent reallocation at the Department's North Temple offices results in some shifting of space occupied by the various divisions in the Department. The majority of other net adjustments reflects the SAFE system funds which were carried forward to FY 1999 and will be spent this year.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$4,082,267	\$2,507,900	\$2,482,100	(\$25,800)
Federal Funds	4,385,305	3,990,000	3,301,100	(688,900)
Fed. Funds (SSBG)			605,500	605,500
Dedicated Credits	36,776	150,500	149,600	(900)
Revenue Transfers	128,204	125,000	124,200	(800)
Beginning Nonlapsing	783,687	1,500,000		(1,500,000)
Ending Nonlapsing				
Total Revenues	\$9,416,239	\$8,273,400	\$6,662,500	(\$1,610,900)
FTEs		65.9	64.9	(1.0)
FY 2000 Adjustments				
Transfer from Dept of Health			\$35,000	
Transfer to EDO			(43,000)	
Other net adjustments			(2,776,900)	
ISF rate adjustments			(17,700)	
Rent reallocation			(19,300)	
SAFE mgt info. System			1,211,000	
Total Adjustments			(\$1,610,900)	

3.2 DCFS: Service Delivery

The Division's regional offices direct and deliver child welfare services, youth services, and domestic violence prevention, intervention and treatment services. The budget includes funding for case workers, related staff, and regional administrative personnel. There are now seven service regions in the Division. In addition to the Northern and Eastern Regions, the Western Region has split off the Southwestern Region (St. George - Cedar City), and the Central Region (Salt Lake County) has been split into three separate regions (Salt Lake, Granite and Cottonwood).

3.2.1 Service Delivery Budget Recommendation

The Analyst recommends \$49.2 million (\$27.4 million General Fund) for the Service Delivery budget for FY 2000. The recommendation includes reductions for the two pilot programs described following the table below. It also includes funding for rate changes by the State's internal service funds (ISF) and rent increases. There is also a budget reduction for previous one-time funding.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$28,454,041	\$26,709,800	\$27,400,200	\$690,400
Free Revenue Misc	3			
Federal Funds	15,515,082	15,853,300	15,493,600	(359,700)
Dedicated Credits	36,816	75,000	75,300	300
Revenue Transfers	5,083,377	6,253,500	6,280,800	27,300
Beginning Nonlapsing				
Ending Nonlapsing	(1,500,000)			
Total Revenues	\$47,589,319	\$48,891,600	\$49,249,900	\$358,300
FTEs		898.7	898.7	0.0
FY 2000 Adjustments				
Less: one-time appropriations - YRI Pilot Project			(\$320,000)	
Less: one-time appropriation - Intensive Family Treatment			(195,000)	
Other adjustments			560,800	
ISF rate adjustments			219,600	
Reduction: one-time costs included in FY98 building block			(270,600)	
Rental Increase			363,500	
Total Adjustments			\$358,300	

3.2.2 Service Delivery: Intensive Family-based Services Pilot Program

This pilot project is intended to "provide intensive family-based services, protective services, and quick response teams for families who are the subject of a substantiated Priority I or Priority II report of child abuse or neglect, and whose children have been removed from the home" This project was originally funded on a one-time basis in FY 1997. It was renewed in FY 1998 and FY 1999.

A report on the project, prepared by the Brigham Young University Psychology Department in October, 1998, concluded:

"a) The experimental services seem to have positively affected the families involved; b) insights on family functioning provided by the child protective service workers aided in affecting viable case determination plans; c) both groups of caseworkers were supportive of the enhanced model; and d) families who received the intensive services were more satisfied with the involvement of DCFS in their lives." It also recommends that the State adopts the experimental model

Statewide. “By so doing, services designed to strengthen and to preserve families could be provided from the moment of referral. As demonstrated in this pilot study, when services are provided early, many families can be helped to work out their problems in minimally intrusive ways which strengthen family functioning, provide for an enhanced network of supportive resources, and reduce the likelihood of out-of-home placement, without endangering the lives of children.”

The Analyst, while not including continued separate funding for the pilot program, recommends that positive aspects of this program be incorporated into the Division’s regular programs without additional funding.

**3.2.3 Service Delivery:
Early Intervention for
Juveniles Pilot
Program**

This project attempts to reach troubled youth before they are sent to juvenile detention centers. It combines intensive family counseling and therapy with the use of an electronic monitoring system which allows the juvenile to remain at home. This pilot project, first funded in FY 1997, is known as the “Early Intervention for Juveniles Pilot Program.” DCFS contracted with Youth Reclamation Inc. (YRI) of Provo and began the program in the Fourth Juvenile Court District (Utah County). It had an initial capacity to treat about 100 youth, as well as a “control” group of about 50. The Legislature has continued this pilot project with one-time funding of \$320,000 in State funds for FY 1998 and FY 1999.

The project has been expanded into the Fifth and Sixth Juvenile Court Districts, and it will expand into the Third District (Salt Lake County) in the current fiscal year. The original project in Utah County continues to operate with savings experienced from a reduction in high cost placements. The \$320,000 was used as “seed money” in FY 1998 and FY 1999 to expand the program into other areas.

Brigham Young University evaluated this pilot project in its December, 1997 report:

“This research has clearly demonstrated that a comprehensive family-based treatment program such as YRI is effective in bringing about positive changes in delinquent and ungovernable youth and their families. . . . Not only is YRI more effective than the status quo, it is also much less costly than out-of-home alternatives. These savings are made possible by keeping these young people in their own homes with their families providing room and board. YRI is also a relatively brief intervention compared to the other more costly alternatives (three months versus sometimes over a year). Many families had already experienced multiple interventions in other programs. For most, YRI was the first opportunity they had to systematically address the problem as a family.

“This type of approach also reduces the burden on the Juvenile Correctional system by keeping kids at home. Many other programs are limited because they remove the adolescent from the home and from the context of his or her delinquency. Intensive residential treatment is necessary for some adolescents with significant problems. However, removing an adolescent from the home and placing him or

her in a sterile therapeutic environment (such as a residential or wilderness program) may create the change within that setting, but frequently this change does not continue following the adolescent's return home."

While not in the Analyst's FY 2000 funding recommendations, the Analyst recommends that the Division continue the deployment of this program into other areas of the State through cost-savings and redirection of current resources.

3.3 DCFS: In-home Services

Services of this program focus on protecting children while supporting, strengthening and preserving their families. These services are designed to allow children to stay in their home or facilitate their return to their natural family. Services include:

* *Homemaker Services*: Provides short-term assistance to parents unable to provide basic care and homemaking needed for the well-being of a child.

* *Youth Advocate Program*: Works one-on-one with youth who have been neglected or abused, and who are at risk of becoming delinquent or ungovernable.

* *In-Home Services*: Makes resources available to a family when less structured intervention is needed to prevent disruption of the family.

* *Parenting Skills Training*: Provides classes that teach appropriate communication and discipline skills.

* *Protective Day Care*: Provides day care for children at risk of abuse or neglect if left at home during the day.

3.3.1 In-home Services Budget Recommendation

The Analyst's recommended budget for In-Home Services for FY 2000 is shown below. No changes are recommended.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$1,659,710	\$1,347,100	\$1,347,100	\$0
Total Revenues	\$1,659,710	\$1,347,100	\$1,347,100	\$0
FTEs		0.0	0.0	0.0

3.3.2 Price Family Support Center

The Division requested replacement funding for a federal grant expiring this year that was used to partially operate the Price Family Support Center. The Center offers a crisis nursery, parenting classes, information and referral services and a Children's Justice Center. Its total operating budget is approximately \$132,000, including a \$68,000 federal grant. Due to limited resources, the Analyst could not include

replacement of this federal grant in his FY 2000 recommendation. If additional funding becomes available, the Analyst recommends that the Legislature consider appropriating \$68,000 for the Price Center.

3.4 DCFS: Out-of-Home Care

This program provides care of children in foster homes and other types of residential settings. There are three levels of foster care: basic, specialized, and structured. This funding also provides “wrap around” services such as medical and mental health services, career skills development, counseling, etc.

3.4.1 Out of Home Care Budget Recommendations

For FY 2000, the Analyst recommends an increase of \$1.3 million, mostly from increased draw downs of Title XIX federal fund transfers. It also includes funding for lost federal funds due to the required State match increase for the FMAP (federal medical assistance percentage). The Analyst recommends that this funding come from Division State funds made available by transferring TANF funds to the SSBG. The total budget recommended is \$34.1 million (including \$15.0 million in State General Funds).

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$14,452,780	\$16,198,300	\$15,027,000	(\$1,171,300)
Federal Funds	6,591,327	6,550,000	4,529,300	(2,020,700)
Fed. Funds (SSBG)			129,300	129,300
Dedicated Credits	1,906,281	2,200,000	2,906,300	706,300
Revenue Transfers	6,776,112	7,775,200	11,536,800	3,761,600
Beginning Nonlapsing		137,600		(137,600)
Ending Nonlapsing	(137,619)			
Total Revenues	\$29,588,881	\$32,861,100	\$34,128,700	\$1,267,600
FTEs		0.0	0.0	0.0
FY 2000 Adjustments				
Transfer to ORS			\$0	
Other adjustments			1,407,600	
Reduction: caseload drop			(140,000)	
FMAP match rate increase			0	
Total Adjustments			\$1,267,600	

3.4.2 Transfer to ORS

The Analyst concurs with the Division’s request to transfer \$31,000 in General Funds to the Office of Recovery Services (ORS). These funds will be used by ORS to hire collection staff who will enhance its efforts in collecting child support for children in custody of DCFS. The transferred General Funds will be offset by the increased collection of child support to the Division (dedicated credits).

3.4.3 Out of Home Care Budget Reduction

The Governor reduced the Division’s General Fund budget by \$363,200 as a result of a small “caseload reduction.” These funds were used to fund a foster parent rate increase in the Governor’s budget. The Analyst has recognized savings made available in the Division, but overall funding restrictions precluded recommending an increase in the

compensation rates provided foster parents. The reductions were taken in two programs in the Division, namely \$140,000 in "Out of Home Care," and \$223,200 in "Adoption Assistance."

3.4.4 Foster Care Initiative

There is a great need to improve the State's foster parent program. There is a need to increase the number of licensed foster homes and to retain quality foster parents. The Division requested \$1.1 million (about \$700,000 from the General Fund) to increase the daily reimbursement rate for foster parents and to increase available respite care for foster parents with difficult children in their care. This building block would have raised the daily reimbursement rate from \$10.50 to \$15.00 for basic foster care, and from \$15.75 to \$17.25 for special foster care.

The Division also requested \$156,000 for additional foster care licensors. With foster parent recruitment and training being contracted with a private, non-profit foundation, it is anticipated that the Division will see a significant increase in people interested in being foster parents.

The Governor included \$1 million in his FY 2000 budget for the recruitment and training of foster parents. These funds, along with existing Division resources, would be used to contract with the private foundation for recruiting and training services. The foundation will also be able to raise private funds for these purposes. The Governor also included funding for additional foster parent licensors (\$103,000) and for increased foster parent support (\$363,200).

Limited resources precluded the Analyst from including funding for these items in his FY 2000 budget recommendations. However, if additional revenues become available, the Analyst recommends the Legislature consider increased funding to enhance the State's foster care system.

3.5 DCFS: Facility Based Services

Facility Based services include Youth Service Centers, Crisis Host Homes, and other short term shelter services for abused, neglected, and runaway youth. This program covers the cost of actual services and may be provided directly by the Region or through contracts with private providers. Children and youth may also receive child abuse and neglect services and protective youth services counseling.

3.5.1 Facility Based Services Budget Recommendations

The Analyst recommends no changes in the Facility Based Services budget for FY 2000.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$1,212,904	\$2,001,400	\$2,001,400	\$0
Free Revenue Misc	16			
Federal Funds	1,563,679	1,565,000	1,565,000	
Dedicated Credits	3,068			
Total Revenues	\$2,779,667	\$3,566,400	\$3,566,400	\$0
FTEs		31.0	31.0	0.0

3.6 DCFS: Minor Grants

Minor Grants refer to various small grants awarded DCFS, as opposed to the major grants such as Titles IV-E, IV-B, and XIX -SSBG. These minor grants are usually administered by the program manager at the State office, rather than the regions. Currently, these grants include the Child/Substance Abuse, Family Preservation and Independent Living grants.

**Child Abuse Prevention and Treatment Act (CAPTA) Grant:* The purpose of this grant is to assist the State in improving the child protective services system. Nine areas of improvement are identified in the Act, from which the State must select one or more to target specific grant activities. There is also the Community-Based Family Resource and Support Program Grant also authorized through CAPTA - Title II. The purpose of this grant is to support State efforts to develop, operate, expand, and enhance a network of community-based, prevention-focused, family resource and support programs. These programs coordinate resources among existing public and private organizations. Current grant funding provides for community developer positions in four FACT (Families, Agencies, Communities Together) organizations and supports a Statewide conference on child abuse and neglect.

** Safe and Stable Family grants:* Authorized through Title IV-B, Part II, of the Social Security Act, this program provides communities with an opportunity to develop services which focus on prevention of family crisis, family breakdown and out-of-home placement. These funds support programs in 17 communities throughout the State and are targeted to family preservation, family support, time-limited family reunification efforts, and adoption promotion and support.

** Independent Living:* The Independent Living program assists youth 16 years and older to learn self sufficiency skills. Some funds are used for stipends to help youth support themselves while living on their own and participating in the independent living program.

3.6.1 Minor Grants Budget Recommendation

The Analyst recommends no changes in the Minor Grants budget for FY 2000.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$365,400	\$365,400	\$365,400	\$0
Federal Funds	1,710,821	1,688,300	1,688,300	0
Total Revenues	\$2,076,221	\$2,053,700	\$2,053,700	\$0
FTEs		0.0	0.0	0.0

3.7 DCFS: Selected Programs

Selected Programs account for State or federal funds that are restricted for specific purposes. These services include:

* *Sexual Abuse Treatment Services:* These services provide assessment and treatment to sexually abused children and their families. It also provides treatment for sexually reactive children and juvenile perpetrators who have been identified by DCFS as sex abuse victims.

* *Day Treatment Services:* This program provides therapeutic management services for emotionally and behaviorally disturbed children and adolescents. Services include education, therapy, crisis management, social skills training, recreational services, and daily living skills.

* *Crisis Nurseries:* Ten Crisis Nurseries Statewide allow parents, who feel that they might injure a child due to family stress to place the child at the center while the parents resolve the crisis. These centers are available 24 hours a day, 7 days a week. Centers are currently operating in Logan, Midvale, Salt Lake City (Sugarhouse), Ogden, Clearfield, Orem, Brigham City, Roosevelt, Cedar City and St. George.

* *Child Welfare Training:* Training to Ability, Skills, and Knowledge (T.A.S.K.), is the formal training unit for DCFS. It is responsible for developing and implementing child welfare curricula for Statewide training that meets the standards set, in part, by the Child Welfare League of America. T.A.S.K. staff provides training to new and established case workers, support staff, supervisors, foster parents, and those who specialize in serving victims of domestic violence.

* *Ute Tribe Transition and Provider Training:* The Eastern Region is working with the Ute Tribe to enhance their child and family social welfare programs.

3.7.1 Selected Programs Budget Recommendations

The Analyst recommends no changes in the Selected Programs budget for FY 2000.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$1,049,559	\$1,820,800	\$1,820,800	\$0
Federal Funds	4,092,940	3,926,400	3,926,400	
Dedicated Credits	9,901	13,000	13,000	
Total Revenues	\$5,152,400	\$5,760,200	\$5,760,200	\$0
FTEs		8.2	8.2	0

3.8 DCFS: Special Needs

This program provides for special needs of children placed in foster homes and other out of home care situation. Special needs might include transportation, special clothing allowances, music lessons, special equipment, baby needs, additional clothing allowance (usually for teens), Christmas gifts, recreation needs, and school expenses (such as yearbook, locker fees, school pictures, tutors, etc). It also includes miscellaneous expenses that DCFS is ordered by the courts to pay for foster children's needs or their parents. This includes interpreter services, long-distance phone calls to facilitate reunification, drug/alcohol screening and treatment for parents, and psychological evaluations of parents. State appropriated funds allow approximately \$120 per child per year for these purposes. Federal Title IV-E funds pay for transportation of children in custody who qualify for Aid to Families with Dependent children.

3.8.1 Special Needs Budget Recommendation

The Analyst recommends no changes in the Special Needs budget for FY 2000.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$1,163,984	\$1,340,900	\$1,340,900	\$0
Federal Funds	309,524	310,000	310,000	
Dedicated Credits	249			
Total Revenues	\$1,473,757	\$1,650,900	\$1,650,900	\$0
FTEs		0.0	0.0	0.0

3.9 DCFS: Domestic Violence

Funding for domestic violence programs were shown separately in the appropriations act beginning in FY 1999. The following programs are funded:

**Domestic Violence Outpatient Services* provide treatment services to perpetrators (both court ordered and voluntary), victims and child witnesses of domestic violence. This program is funded in part from the Victims of Domestic Violence Services Account which revenues come from surcharges on criminal fines, penalties and forfeitures imposed by the courts.

**Family Violence Shelters* provide abused adults and their children with safe short-term refuge. Shelter staff can help them assess their situation and evaluate available options to end the abuse. Victims are also given an opportunity to participate in groups with other battered individuals, and receive counseling to deal with issues of self-esteem and self-sufficiency.

3.9.1 Domestic Violence Budget Recommendation

The Analyst recommends \$4.3 million (\$3.0 million General Fund) for this program for FY 2000, a \$500,000 increase above FY 1999. These new funds would address some of the gaps in service programs offered by the State's domestic violence shelters. Services provided (not at all shelters) include support groups, community outreach, crisis intervention, educational counseling, bilingual response, and others. Some of the funds will be used to hire five additional Division employees to enhance the State's ability to respond to family crisis situations. The Analyst recommends \$500,000 for these programs from Division State funds made available by transferring TANF funds to the SSBG.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$2,195,951	\$2,973,400	\$2,973,400	\$0
General Fund Restricted	550,000	550,000	550,000	
Federal Funds	263,288	250,000	250,000	
Federal Funds (SSBG)			500,000	500,000
Lapsed to Restricted Fund	(23,035)			
Total Revenues	\$2,986,204	\$3,773,400	\$4,273,400	\$500,000
FTEs		31.0	36.0	5.0
FY 2000 Adjustments				
Domestic violence programs			\$500,000	
Total Adjustments			\$500,000	

3.10 DCFS: Children's Trust Fund

The Children's Trust Fund was established by the Legislature in 1986. The legislation placed a \$3.00 surcharge on birth certificates. The funds are to be used for child abuse prevention programs. Each year proposals are received and grants are awarded by the Child Abuse and Neglect Council. Grants require a dollar for dollar match by the sponsoring organizations (schools, church groups, communities, etc).

3.10.1 Children's Trust Fund Budget Recommendations

The Analyst recommends no changes in the Children's Trust Fund budget for FY 2000.

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund Restricted	\$350,000	\$350,000	\$350,000	\$0
Lapsed to Restructured Fund	(92,302)			
Total Revenues	\$257,698	\$350,000	\$350,000	\$0
FTEs		0.0	0.0	0.0

3.11 DCFS: Adoption Assistance

This program subsidizes adoptive parents and guardians of children with special needs. Assistance may include a Medicaid card, reimbursement of non-recurring adoption expenses, a monthly subsidy, and supplemental adoption assistance.

3.11.1 Adoption Assistance Budget Recommendation

The Analyst recommends \$7.6 million (\$4.4 million General Fund) for the Adoption Assistance budget for FY 2000. This reflects an increase in the Division's ability to draw down Title IV federal funds. The Governor reduced the Division's General Fund budget by \$363,200 as a result of a "caseload reduction." In the Governor's budget, these funds were used for a foster parent rate increase. The Analyst has recognized savings made available in the Division, but overall funding restrictions precluded recommending an increase in the compensation rates provided foster parents. The reductions were taken in two programs in the Division, namely \$140,000 in "Out of Home Care," and \$223,200 in "Adoption Assistance."

	FY 1998	FY 1999	FY 2000	FY 00-99
Funding Source	Actual	Authorized	Analyst	Difference
General Fund	\$3,159,204	\$4,607,200	\$4,384,000	(\$223,200)
Federal Revenue	2,335,512	2,773,600	3,243,500	469,900
Total Revenues	\$5,494,716	\$7,380,800	\$7,627,500	\$246,700
FTEs		0.0	0.0	0.0
FY 2000 Adjustments				
Other FY00 revised funding estimates (non-State funds)			\$469,900	
Reduction: caseload drop			(223,200)	
Total Adjustments			\$246,700	

4.0 Tables

4.1 Funding History

Funding	FY 1994 Actual	FY 1995 Actual	FY 1996 Actual	FY 1997 Actual	FY 1998 Actual	FY 1999 Authorized	FY 2000 Analyst
General Fund	\$18,872,900	\$28,121,800	\$35,679,700	\$46,303,100	\$57,795,800	\$59,872,200	\$59,142,300
Free Revenue Misc					19		
G. F. Restricted	650,000	650,000	800,000	795,300	900,000	900,000	900,000
Federal Funds	24,225,500	30,307,100	36,993,400	39,214,400	36,767,478	36,906,600	35,542,000
Dedicated Credits	1,794,300	1,445,700	1,647,400	2,092,200	1,993,091	2,438,500	3,144,200
Transfers	3,580,000	8,654,200	7,114,800	13,741,400	11,987,693	14,153,700	17,941,800
Beginning Nonlapsing	0	427,800	1,738,400	415,000	783,687	1,637,600	
Ending Nonlapsing	(177,800)	(1,738,400)	(415,000)	(783,700)	(1,752,956)		
Lapse	(42,300)	(57,500)	(145,300)	(22,100)			
Total Revenues	\$48,902,600	\$67,810,700	\$83,413,400	\$101,755,600	\$108,474,812	\$115,908,600	\$116,670,300
FTEs	576.0	813.0	913.0	1,038.0	1,064.0	1,034.8	1,038.8
Programs							
Administration	\$1,696,700	\$3,350,600	\$6,574,200	\$8,266,700	\$9,416,239	\$8,273,400	\$6,662,500
Permanency Project			256,100				
Service Delivery	23,122,700	31,646,200	37,138,400	43,263,600	47,589,319	48,891,600	49,249,900
In Home Services	989,300	1,191,800	1,414,900	1,970,900	1,659,710	1,347,100	1,347,100
Out of Home Care	12,472,600	20,420,400	23,894,800	29,134,600	29,588,881	32,861,100	34,128,700
Facility Based	2,393,600	2,356,700	2,658,800	3,104,300	2,779,667	3,566,400	3,566,400
Treatment Services	1,920,200	1,781,400	2,093,700	2,475,900			
Minor Grants					2,076,221	2,053,700	2,053,700
Restricted Services	5,026,200	5,859,100	7,746,300	11,479,800			
Selected Programs					5,152,400	5,760,200	5,760,200
Special Needs	396,800	911,300	1,431,500	1,764,500	1,473,757	1,650,900	1,650,900
Domestic Violence					2,986,204	3,773,400	4,273,400
Children's Trust Fund	319,800	293,200	204,700	295,300	257,698	350,000	350,000
Adoption Assistance					5,494,716	7,380,800	7,627,500
Ogden Living Center	91,500						
Children's Justice Ctr	473,200						
Totals	\$48,902,600	\$67,810,700	\$83,413,400	\$101,755,600	\$108,474,812	\$115,908,600	\$116,670,300
Percent Increase		38.66%	23.01%	21.99%	6.60%	6.85%	0.66%

4.2 Federal Funds

	FY 1998 Actual	FY 1999 Authorized	FY 2000 Analyst	FY 00-99 Difference
Title XX Soc. Service Block Grant	\$9,407,629	\$9,378,300	\$8,935,600	(\$442,700)
General Funds	0	0	0	0
Totals for this grant/contract	\$9,407,629	\$9,378,300	\$8,935,600	(\$442,700)
Title XX Soc. Service Block Grant (Transfer from TANF)			\$1,234,800	\$1,234,800
General Funds			0	0
Totals for this grant/contract			\$1,234,800	\$1,234,800
Title IB. Child Welfare	\$3,275,000	\$3,275,000	\$3,275,000	\$0
General Funds	0	0	0	0
Totals for this grant/contract	\$3,275,000	\$3,275,000	\$3,275,000	\$0
Title I'VE AFDC Foster Care	\$18,391,283	\$17,986,400	\$15,829,700	(\$2,156,700)
General Funds	13,140,572	12,851,300	11,310,300	(1,541,000)
Totals for this grant/contract	\$31,531,855	\$30,837,700	\$27,140,000	(\$3,697,700)
Child Abuse and Neglect	\$441,778	\$425,000	\$425,000	\$0
General Funds	0	0	0	0
Totals for this grant/contract	\$441,778	\$425,000	\$425,000	\$0
Independent Living Grants	\$203,467	\$200,000	\$200,000	\$0
General Funds				0
Totals for this grant/contract	\$203,467	\$200,000	\$200,000	\$0
Title I'VE Adoptions	\$3,430,778	\$4,038,600	\$4,038,600	\$0
General Funds	1,921,236	2,261,600	2,261,600	0
Totals for this grant/contract	\$5,352,014	\$6,300,200	\$6,300,200	\$0
Runaway Youth	\$263,679	\$265,000	\$265,000	\$0
General Funds	87,805	88,200	88,200	0
Totals for this grant/contract	\$351,484	\$353,200	\$353,200	\$0
Family Violence Grant	\$263,288	\$250,000	\$250,000	\$0
General Funds				0
Totals for this grant/contract	\$263,288	\$250,000	\$250,000	\$0
Misc ODDS Grants	\$1,090,576	\$1,088,300	\$1,088,300	\$0
General Funds	103,605	103,400	103,400	0
Totals for this grant/contract	\$1,194,181	\$1,191,700	\$1,191,700	\$0
Total Federal Funds	\$36,767,478	\$36,906,600	\$35,542,000	(\$1,364,600)
Total State Funds	\$15,253,217	\$15,304,500	\$13,763,500	(\$1,541,000)
Total Funds	\$52,020,695	\$52,211,100	\$49,305,500	(\$2,905,600)